STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

ATTORNEYS AT LAW

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AND PROTECT NOT BEFORE
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WRITER'S DIRECT NUMBER:

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GLONGS@SKGF.COM

Commissioner for Patents Washington, D.C. 20231

AS FILED 12-20-01

December 19, 2001

Re:

U.S. Utility Patent Application

Appl. No. 09/972,912; Filed: October 10, 2001

Cardiac and Pancreatic Protein and Gene

Inventors:

SOPPET et al.

Our Ref:

1488.0620003/EKS/GLL

Sir:

Transmitted herewith for appropriate action are the following documents:

- 1. Information Disclosure Statement (in duplicate);
- 2. PTO Form 1449 citing 47 documents:
- 3. A copy of each of the 47 cited documents; and
- 4. One return postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

STERNE, KESSLER, GOLDSTEIN FOX P.L.L.C.

Commissioner for Patents December 19, 2001 Page 2 JAN 3 0 JAN TECH VENTER 1600,2900

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. A duplicate copy of this letter is enclosed.

Respectfully submitted.

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Gaby L. Longsworth
Agent for Applicants

Registration No. 47,756

EKS/GLL/eak

Enclosures

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IN THE UNITED STATES PATENT AND TRADEMARK TOFFICE

In re application of:

SOPPET et al.

Appl. No. 09/972,912

Filed: October 10, 2001

For: Cardiac and Pancreatic Protein

and Gene

Art Unit:

To be assigned

Examiner: To be assigned

Attv. Docket: 1488.0620003/EKS/GLL



Information Disclosure Statement

Commissioner for Patents Washington, D.C. 20231

Sir:

Listed on accompanying Form PTO-1449 are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98. A copy of each document is provided.

Where the publication date of a listed document does not provide a month of publication, the year of publication of the listed document is sufficiently earlier than the effective U.S. filing date and any foreign priority date so that the month of publication is not in issue. Applicants have listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

This Information Disclosure Statement is being filed within three months of the date of filing of a national application other than a continued prosecution application (CPA) and before the mailing date of a first Office Action on the merits. No statement or fee is required.

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449, and indicate in the official file wrapper of this patent application that the documents have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. A duplicate copy of this pleading is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Galy L. Longsworth

Gaby L. Longsworth Agent for Applicants Registration No. 47,756

Date: December 19, 2001

1100 New York Avenue, N.W. Suite 600 Washington, D.C. 20005-3934 (202) 371-2600

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	AS	1	Bader, D., Cardiovascu 41-49 (1990	lar System. Rob	ment of Cardiac Proger erts, R. and Schneider	nitors," in: Mol , M.D., Eds., Wi	ecular B il ey- Liss	iology of th	e N York, NY, pp.
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-	AS	2	Nathan, C. University	and Sporn, M., Press (1991).	"Cytokines in Context," J.	Cell Biol.	113:981	-986, Rockef	eller
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APPLICATION NO. ATTY. DOCKET NO. 1488.0620003/EKS/GLL 09/972,912 FORM PTO-1449 APPLICANT Soppet at al INFORMATION DISCLOSURE STATEMENT GROUP FILING DATE October 10, 2001 To be assigned U.S. PATENT DOCUMENTS **EXAMINER** FILING DATE CLASS SUB-CLASS INITIAL DOCUMENT NUMBER DATE NAME AΑ ΑВ AC 2 0 2001 ΑD ΑE ΑF & TRADEM ΑG ΑН ΑI ΑJ ΑK FOREIGN PATENT DOCUMENTS **EXAMINER** CLASS SUB-CLASS TRANSLATION COUNTRY DOCUMENT NUMBER DATE INITIAL Yes No AL Yes No ΑM Yes No AN Yes No AO Yes No ΑP OTHER (Including Author, Title, Date, Pertinent Pages, etc.) NCBI Entrez, GenBank Report, Accession No. R82733, Hillier, L., et al. (1995). 7 AR NCBI Entrez, GenBank Report, Accession No. N66915, Hillier, L., et al. (March 1996). AS 7 NCBI Entrez, GenBank Report, Accession No. W26453, Macke, J., $et\ al$. (May 1996). ΑT 7 DATE CONSIDERED EXAMINER EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

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ATTY. DOCKET NO. 09/972,912 1488.0620003/EKS/GLL FORM PTO-1449 APPLICANT Soppet et al INFORMATION DISCLOSURE STATEMENT GROUP FILING DATE To be assig<u>ned</u> October 10, 2001 U.S. PATENT DOCUMENTS EXAMINER FILING DATE SUB-CLASS DOCUMENT NUMBER DATE NAME CLASS INITIAL ΑB ΑC DEC 2 0 2001 ΑD ΑE ΑF PADEMAN AG ΑH ΑI ΑJ AK FOREIGN PATENT DOCUMENTS EXAMINER SUB-CLASS TRANSLATION CLASS COUNTRY DOCUMENT NUMBER DATE INITIAL Yes No ΑL Yes No AΜ Yes No AN Yes Nο ΑО Yes No ΑP OTHER (Including Author, Title, Date, Pertinent Pages, etc.) NCBI Entrez, GenBank Report, Accession No. D87736, Tanaka, M., et al. (September 1996). AR <u>8</u> NCBI Entrez, GenBank Report, Accession No. C20629, Okubo, K. (October 1996). AS 8 NCBI Entrez, GenBank Report, Accession No. N51037, Hillier, L., et al. (January 1997). ΑT 8 DATE CONSIDERED EXAMINER EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through

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	AS	<u>15</u>	Simpson, P.C., "Regulation of Hypertrophy and Gene Transcription in Cultured Heart Muscle Cells," in: Molecular Biology of the Cardiovascular System, Roberts, R. and Schneider, M.D., Eds., Wiley-Liss, Inc., New York, NY, pp. 125-133 (1990).								
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Watson, J.D. et al., "Table 25-3: Properties of Some Polypeptide Growth Factors Corresponding Genes/mRNAs Have Been Cloned," in: Molecular Biology of the Gene, Benjamin/Cummings Publ. Co., Inc., Menlo Park, CA, p. 975 (1987).								Factors Who he Gene, The	ise		
	AS	<u>16</u>	Zhou, D., et al., "A β -1,3-N-acetylglucosaminyltransferase with poly-N-acetyllactosamine synthase activity is structurally related to β -1,3-galactosyltransferases," Proc. Natl. Acad. Sci. USA 96:406-411, National Academy of Sciences (January 1999).								
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